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<u>Goal.</u> It has been assumed that in Japanese, the nominal structures for NI (1a) and for non-NI (1b) are underlyingly the same: (Kageyama 1982, Terada 1990):

(1) a. Nino-ga  $[VP [NP t_1]]$  syokuji<sub>1</sub>-sita] Nino-NOM dining-did

'Nino had a meal.'

b. Nino-ga [ $_{\text{NP}}$  syokuji-o] sita.] Nino- $_{\text{NOM}}$  dining- $_{\text{ACC}}$  did

'Nino had a meal.'

The general assumption is that in (1a), an N head syokuji incorporates into a verb su (the verbal root of sita 'did'), creating an N + V complex, whereas in (1b), the noun stays in-situ, obtaining Case from su. Thus, an NI form and a non-NI form start off with the same underlying NP structure. I argue that this is incorrect, and provide different underlying structures for NI and non-NI sentences.

<u>Counterevidence.</u> The fact that only a non-NI form can co-occur with noun-modifying elements like (complex) numeral classifiers (2), or adjectives (3) suggest that an NI form is not derived from an NP:

(2) Nino-ga **hutu-ka-bun-no** \*syokuji-sita/ syokuji-o sita.

Nino-<sub>NOM</sub> two-days-amount -<sub>GEN</sub> dining-did/ dining-<sub>ACC</sub> did

'Nino had two days worth of meals.'

(3) Nino-ga **kantanna** \* **syokuji**<sub>1</sub>-sita/ **syokuji**-o sita.

Nino-<sub>NOM</sub> simple dining-did/ dining-<sub>ACC</sub> did

'Nino had a simple meal'

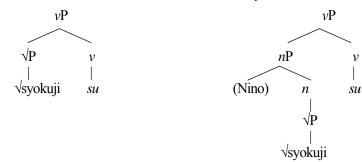
If an NI form contains an N on a par with a non-NI form, it should be able to host these noun-modifying elements, contrary to fact. In fact, in other NI languages like Mohawk, where both NI-forms and non-NI forms share the same underlying structure (i.e. NP), the NI form can host these elements (Baker 1996).

In fact, Sugimura (2008) argues that NI-forms and non-NI forms are not both NPs, and she posits a DP structure for a non-NI form. If this is the case, then at least the grammaticality fact in (2) follows: that is, genitive Case is only compatible with a non-NI form under the assumption that a D head licenses Genitive Case. However, there is no concrete evidence that a D assigns genitive Case in Japanese. Moreover, the genitive marker *no* could be a dissociated morpheme that is inserted post-syntactically. But most importantly, the DP/NP distinction cannot explain why an NP cannot host an adjective in (3). If an NI form is derived from an NP, it should be able to host these noun-modifying elements like other Mohawk-type NI languages.

**Proposal.** I propose that the NI form does not consist of N + V, but it instead consists of a category called root (Pesetsky 1995) and a light verb (Grimshaw & Mester 1988) su 'do', which is semantically vacuous (i.e. does not bear theta-roles). On the contrary, I assume that a non-NI form consists of a root and at least a category-defining head n (Halle & Marantz 1993) that discharges an external argument of the root, which eventually moves to a subject position:



## b. Non-NI form



Thus, in (4a),  $\sqrt{syokuji}$  'dining' is never a noun at any derivational stage. The root combines with su 'do', and thereby syokuji-sita becomes a verb as a whole. In contrast, in (4b),  $\sqrt{syokuji}$  is assigned a category of noun, before it merges with su. The grammaticality of (2) and (3) then follows since noun-modifying elements are incompatible with a verb syokuji-sita, while they can attach to a nominal syokuji for syokuji-o sita.

<u>Supporting Evidence.</u> (4a, b) imply that the subject in an NI sentence is base-generated, while that in a non-NI sentence the subject is derived. This is supported by Kuno's (1973) observation that base-generated subjects force an exhaustive interpretation, while derived ones do not. Thus, the interpretation of (1a) and (1b) are not exactly the same: (1a) is interpreted as 'It is Nino who had a meal', while (1b) obtains a non-exhaustive interpretation, 'Nino had a meal.' (4a, b) also imply that unaccusative nouns can only have a bare-root option (4a) since they do not have an external argument that n can discharge. Thus, unaccusative nouns can only have an NI form:

(5) Tokyuu-ga Uenoeki ni *5-dai* tootyaku-sita/\*tootyaku-o sita. limited express-<sub>NOM</sub> Ueno station to 5-<sub>CL</sub> arrival-did/arrival-<sub>ACC</sub> did 'Five limited express trains arrived at Ueno station.' (Miyagawa 1989)

<u>Conclusion.</u> Thus, the structural realization of roots is closely tied with the argument structure, which consequently supports the view that theta-grid information is somehow encoded in roots (Marantz 1997).

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